



*IPW*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Andrew W. Rolfe

Application Ser. No.: 10/714,314

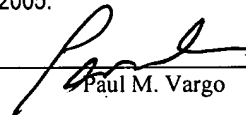
Filed: November 14, 2003

For: *Use of Public Switched  
Telephone Network for  
Capturing Electronic  
Signatures in On-Line  
Transactions*

)  
) Art Unit 2641  
)  
) Our ref.: AUT-P-0021 US  
) (8423/90907)  
)

**CERTIFICATE OF MAILING  
BY FIRST CLASS MAIL (37 CFR 1.8)**

I hereby certify that this paper **and its attachments** are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on this 30 day of August, 2005.

  
Paul M. Vargo

Hon. Commissioner for Patents  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

Attn: Office of Initial Patent Examination's  
Filing Receipt Corrections

**REQUEST TO CORRECT UPDATED FILING RECEIPT**

Sir:

On April 11, 2005 we faxed the Patent Office to request correction of the Updated Filing Receipt in connection with the above-identified application (copy of our fax and its attachments are enclosed). Also enclosed is an automatically generated return receipt confirmation by the Patent Office acknowledging receipt of our aforementioned facsimile. To date we have not received a Corrected Filing Receipt. The Patent Application Information Retrieval (PAIR) page on the U.S. PTO online site indicates that IFW TSS Processing by Tech Center was completed on April 20, 2005.

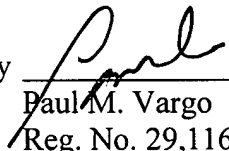
Applicant: Andrew W. Rolfe  
Application Serial No.: 10/714,314

Kindly forward a Corrected Filing Receipt to us reflecting the correct name of assignee, that is Authentify, Inc., as noted in the assignments records at the Patent Office. A copy of the Notice of Recordation of Assignment Document with attached Assignment issued by the Assignment Division at the U.S. PTO, reflecting that the Assignment was recorded on April 16, 2004 at Reel 015228, Frame 0860, is also attached herewith.

Respectfully submitted,

WELSH & KATZ, LTD.

Dated: August 30, 2005

By   
Paul M. Vargo  
Reg. No. 29,116  
120 South Riverside Plaza – 22<sup>nd</sup> Floor  
Chicago, Illinois 60606  
(312) 655-1500



WELSH & KATZ, LTD.  
120 SOUTH RIVERSIDE PLAZA, 22nd FLOOR  
CHICAGO, ILLINOIS 60606  
(312) 655-1500  
FAX No. (312) 655-1501

**FAX TRANSMITTAL SHEET**

DATE: April 11, 2005 Send to: 703/746-9195

TO: **Filing Receipt Corrections**  
**U.S. Patent Office**

RE: U.S. Application Serial No. 10/714,314  
Filed November 14, 2003 - Andrew R. Rolfe  
"Use of Public Switched Telephone Network for  
Capturing Electronic Signatures in on Transactions"  
Atty Docket: AUT-P-0021 US (8423-90907)

FROM: Paul M. Vargo/

Number of Pages Attached: 5 - Including this transmittal sheet

When filing the above application, the Transmittal incorrectly stated that the Assignee was Honeywell International, Inc. Both the PTO-1595 Form and the Assignment (enclosed) correctly identify the Assignee as Authentify, Inc. A copy of the Filing Receipt showing the correction is enclosed.

Correction of the Filing Receipt is requested.

\*\*\*\*\***CONFIDENTIALITY NOTE**\*\*\*\*\*

The documents accompanying this facsimile transmission contain information which may be confidential or privileged and exempt from disclosure under applicable law. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this information is without authorization and is prohibited. If you have received this facsimile in error, please notify us by collect telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.



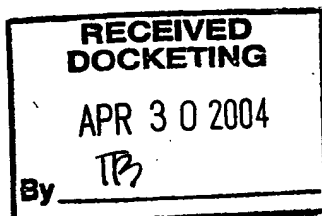
## UNITED STATES PATENT AND TRADEMARK OFFICE

90907-PMV

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/714,314	11/14/2003	2641	468	AUT-P-0021 (8423/90907)	5	22	2

24628  
WELSH & KATZ, LTD  
120 S RIVERSIDE PLAZA  
22ND FLOOR  
CHICAGO, IL 60606



CONFIRMATION NO. 2749  
UPDATED FILING RECEIPT



\*OC000000012449683\*

Date Mailed: 04/27/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Andrew R. Rolfe, East Dundee, IL;

**Assignment For Published Patent Application**

~~Honeywell International, Inc.~~

AUTHENTIFY, INC.

**Domestic Priority data as claimed by applicant**

This application is a CIP of 10/155,821 05/24/2002

SEE RECORDED

ASSIGNMENT

RECEIVED  
2004 APR 30 AM 8:27  
WELSH & KATZ, LTD.

**Foreign Applications**

If Required, Foreign Filing License Granted: 02/12/2004

Projected Publication Date: 08/05/2004

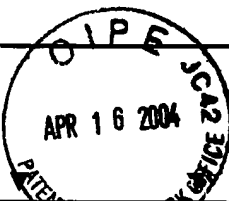
Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Use of public switched telephone network for capturing electronic signatures in on-line



RECOF

P

04-21-2004



102726333

No.: AUT-P-0021 (8423-90907)

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings → → →

To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Andrew R. Rolfe

4-16-04

2. Name and address of receiving party(ies):

Name: Authenticate, Inc.

Address: 8745 West Higgins Road

Suite 235

City: Chicago

State/Prov.: IL

Country: US

ZIP: 60631

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: March 31, 2004

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

Patent Application No.

Filing date

B. Patent No.(s)

10/714,314

Nov. 14, 2003

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Mitchell J. Weinstein

Registration No. 37,963

Address: WELSH & KATZ, LTD.

120 South Riverside Plaza

22nd Floor

04/20/2004 DBYME 00000090 10714314

01 FC-0021 Chicago

40.00 State/Prov.: IL

Country: US

ZIP: 60606

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

23-0920

(Attach duplicate copy of this page if paying by deposit account)

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Mitchell J. Weinstein

Name of Person Signing

Signature

April 13, 2004

Date

4

Total number of pages including cover sheet, attachments, and

# Assignment

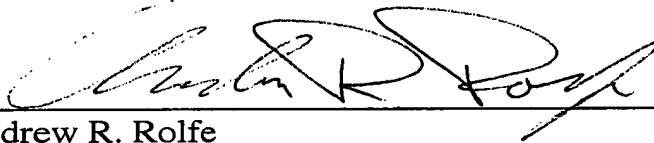
Serial No.: 10/714,314

Filed: November 14, 2003

**FOR** good and valuable consideration, the receipt of which is hereby acknowledged, the entire right, title and interest in the invention or improvements of the undersigned in Use of Public Switched Telephone Network for Capturing Electronic Signatures in On-Line Transactions and in the application for Letters Patent of the United States therefor, having a serial number and a filing date stated above, and in any continuation, division, reissue or extension of any Letters Patent that may be granted upon said application are hereby assigned by the undersigned to AUTHENTIFY, INC., a Delaware Corporation and the heirs, successors, legal representatives and assigns of Authenticate, Inc. (hereinafter collectively called said Assignee), and the Commissioner of Patents and Trademarks is hereby authorized and requested by the undersigned to issue said Letters Patent to said Assignee.

**For said consideration** it is hereby agreed by the undersigned, upon the request of said Assignee, to execute any necessary and proper oaths or affidavits relating to said application or required for the filing or prosecution of any divisional or continuing application thereof or for the filing or prosecution of any application for the reissue or extension of any Letters Patent that may be granted on said invention or improvements that said Assignee may deem necessary or expedient, and for the said consideration it is further agreed by the undersigned, upon the request of said Assignee, in the event of said application or any division thereof, or Letters Patent issued thereon, or any reissue or application for the reissue thereof, becoming involved in Interference, to cooperate to the best of the ability of the undersigned with said Assignee in the matters of preparing and executing the preliminary statement and giving and producing evidence in support thereof, and further to perform, upon such request, any and all affirmative acts to obtain said Letters Patent and vest all rights therein hereby conveyed in the said Assignee as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment and sale had not been made. And for the said consideration the entire right, title and interest in said invention or improvements, including all priority rights, and the right to claim priority rights and the privileges and benefits thereof, including those under the International Convention, and all other Conventions, and the right to file applications for patent in said Assignee's own name for said invention or improvements in each and every country of the world are hereby assigned and granted by the undersigned to said Assignee. It is further agreed by the undersigned, upon the request of said Assignee, to execute any and all documents that shall be required of the undersigned to be executed in connection with any and all applications for foreign Letters Patent therefor, including the prosecution thereof, and to execute any and all documents necessary to invest title in said foreign applications and patents in said Assignee. The undersigned also further agrees, for the said consideration, upon the request of said Assignee, to promptly perform all lawful acts deemed by said Assignee to be necessary or

advisable in connection with maintaining, enforcing, or transferring the resulting grants of said Letters Patent in the United States or foreign countries. It is agreed that such lawful acts include, but are not limited to, taking oaths, executing declarations, powers, assignments and other papers and giving testimony. The attorneys of record in such application for patent are hereby authorized and requested by the undersigned to insert in this Assignment the date and serial number thereof in the places provided therefor.

  
\_\_\_\_\_(Seal)  
Andrew R. Rolfe

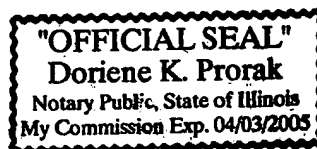
State of ILLINOIS )  
County of COOK ) ss.

On MARCH 31, 2004, Andrew R. Rolfe appeared before me, a Notary Public in and for the State and County aforesaid, and acknowledged that he freely and voluntarily subscribed and executed the foregoing Assignment for the purposes and uses therein expressed.

(SEAL)

  
\_\_\_\_\_  
Notary Public

My Commission Expires: 4/3/05



# Auto-Reply Facsimile Transmission



TO: Fax Sender at 3126551501

Fax Information

Date Received:

Total Pages:

4/11/2005 4:11:19 PM [Eastern Daylight Time]  
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Received  
Cover  
Page

=====>

APR 11 2005 2:09PM WELSH & KATZ CHICAGO NO. 554 P. 1

**WELSH & KATZ, LTD.**  
120 SOUTH RIVERSIDE PLAZA, 22nd FLOOR  
CHICAGO, ILLINOIS 60606  
(312) 855-1500  
FAX No. (312) 855-1501

**FAX TRANSMITTAL SHEET**

DATE: April 11, 2005 Send to: 703/748-9198

TO: Filing Receipt Corrections  
U.S. Patent Office

RE: U.S. Application Serial No. 10/714,314  
Filed November 14, 2003 - Andrew R. Rolfe  
"Use of Public Switched Telephone Network for  
Capturing Electronic Signatures in on Transactions"  
Atty Docket: AUT-P-0021 US (8423-80807)

FROM: Paul M. Vargo/

Number of Pages Attached: 5 - Including this transmittal sheet

When filing the above application, the Transmittal incorrectly stated that the Assignee was Honeywell International, Inc. Both the PTO-1585 Form and the Assignment (enclosed) correctly identify the Assignee as Authentify, Inc. A copy of the Filing Receipt showing the correction is enclosed.

Correction of the Filing Receipt is requested.

*Thank you*

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PAGE 1/5 RCVD AT 4/11/2005 4:11:19 PM [Eastern Daylight Time] SVR:USPTO-EFAXF-2/1 DWS:7469199 CSD:3126551501 DURATION (mm-ss):02:30

RECEIVED  
2005 APR 11 PM 3:24  
WELSH & KATZ, LTD.





90907

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## UNITED STATES PATENT AND TRADEMARK OFFICE

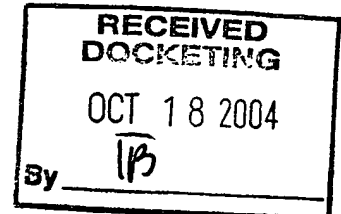
UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND  
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

OCTOBER 08, 2004

PTAS



\*102726333A\*

WELSH & KATZ, LTD.  
MITCHELL J. WEINSTEIN  
120 SOUTH RIVERSIDE PLAZA  
22ND FLOOR  
CHICAGO, IL 60606UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENTTHE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF  
THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS  
AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER  
REFERENCED BELOW.PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE  
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ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY,  
SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 04/16/2004

REEL/FRAME: 015228/0860  
NUMBER OF PAGES: 3BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: AUT-P-0021 (8423-90907)ASSIGNOR:  
ROLFE, ANDREW R.

DOC DATE: 03/31/2004

ASSIGNEE:  
AUTHENTIFY, INC.  
8745 WEST HIGGINS ROAD  
SUITE 235  
CHICAGO, ILLINOIS 60631RECEIVED  
2004 OCT 18 AM 8:49  
WELSH & KATZ, LTD.

SERIAL NUMBER: 10714314

FILING DATE: 11/14/2003

PATENT NUMBER:

ISSUE DATE:

TITLE: USE OF PUBLIC SWITCHED TELEPHONE NETWORK FOR CAPTURING ELECTRONIC  
SIGNATURES IN ON-LINE TRANSACTIONS

015228/0860 PAGE 2

PAULA MCCRAY, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

**PATENTS ONLY**

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To the Director of the United States Patent and Trademark Office: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

**Andrew R. Rolfe**

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: **March 31, 2004**

2. Name and address of receiving party(ies):

Name: **Authenticate, Inc.**

Address: **8745 West Higgins Road**

**Suite 235**

City: **Chicago**

State/Prov.: **IL**

Country: **US**

ZIP: **60631**

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or patent numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

**10/714,314**

**Nov. 14, 2003**

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Mitchell J. Weinstein**

Registration No. **37,963**

Address: **WELSH & KATZ, LTD.**

**120 South Riverside Plaza**

**22nd Floor**

City: **Chicago**

State/Prov.: **IL**

Country: **US**

ZIP: **60606**

6. Total number of applications and patents involved:

**1**

7. Total fee (37 CFR 3.41):.....\$ **40.00**

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

**23-0920**

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*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Mitchell J. Weinstein**

Name of Person Signing

Signature

**April 13, 2004**

Date

**4**

Total number of pages including cover sheet, attachments, and